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PATENT APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" dated October 30, 2001 which we received in connection with the following US patent application:

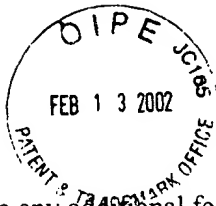
Applicant:	Franco, et al
Title of application:	Method and Apparatus for Performing Relational Speech Recognition
Serial No.:	09/967,228
Filed:	September 28, 2001
Attorney Docket No.:	SRI/4580-2

we enclose the following material herewith:

- ☒ Declaration;
- ☐ Verified English translation of the application;
- ☒ Our check in the amount of \$656.00 to cover the basic filing fee of \$370.00, additional claim fees of \$126; the surcharge under 37 CFR 1.16(e) in the amount of \$ 65.00, one month extension fee of \$55.00 and Assignment recordation fee of \$40;
- ☒ Copy of PTO Notice to File Missing Parts of Nonprovisional application issued in connection with this application.

We have also enclosed the following item(s) in connection with this application:

- ☐ A claim to priority
- ☐ Priority Document
- ☒ Assignment of Application by all inventors with cover sheet
- ☒ One Month Extension Request
- ☒ Three (3) sheets of formal drawings (with cover letter to Official Draftsperson)



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Please charge any additional fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Respectfully submitted,

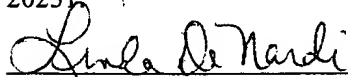
Dated: 1/18/02


KIN-WAH TONG
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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on Jan. 18, 2002, with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.


Signature

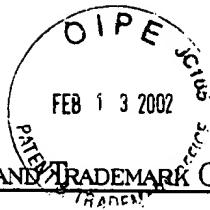
January 18, 2002
Date of signature

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,228	09/28/2001	Horacio E. Franco	SRI/4580-2

CONFIRMATION NO. 7137

FORMALITIES LETTER



OC000000006997836

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Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$120.
 - ◻ \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 540.

*A copy of this notice **MUST** be returned with the reply.*

CV.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 VTRUONG1 00000017 09967828

01 FC:801	571.00 CF
02 FC:802	126.00 CF
03 FC:805	85.00 CF